



Hydro-climatic and ecological behavior of the drought of Amazonia in 2005

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4 Hydro-climatic and ecological behavior of the drought of Amazonia in 2005

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22 Abstract

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26 In 2005, southwestern Amazonia experienced the effects of an intense drought that
27 affected life and biodiversity. Several major tributaries as well as parts of the main river
28 itself contain only a fraction of their normal volumes of water, and lakes are drying up.
29 The consequences for local people, animals and the forest itself are impossible to
30 estimate now, but they are likely to be serious. The analyses indicate that the drought was
31 manifested as weak peak river season in autumn-winter as a consequence of a weak
32 summertime season in southwestern Amazonia; the winter season was also accompanied
33 by rainfall that reached sometime 25% of the climatic value, being anomalously warm
34 and dry and helping in to the propagation of fires. Analyses of climatic and hydrological
35 records in Amazonia suggest a broad consensus that the 2005 drought was linked not to
36 El Niño as with most previous droughts in the Amazon, but to warming sea surface
37 temperatures in the tropical North Atlantic Ocean

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41 Key words: Amazon, drought, climate change

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44 **1. Introduction**

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46 Drought, fire, and their interactions play an important role in the carbon
47 dynamics, vegetation-atmosphere interactions, hydrology, and health of Amazon forest
48 ecosystems, and in the livelihoods of Amazon residents. In a normal year the region
49 receives over 2500 mm/year rainfall. Yet from November 2004 to the end of 2005 this
50 region has been affected by an increasingly catastrophic drought, estimated to be the
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4 worst in 40 years (Marengo et al 2007).

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6 Previous drought events occurred during El Niño years (e.g., 1926, 1983 and
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8 1998) while the previous not related to El Niño was in 1964. Most Amazonian droughts
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10 during El Niño occur in the north-eastern Amazon, but this one in 2005 started in the
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12 west and south-west, and its impact spread as far as the center and east. In 2005, from
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14 Peru to Eastern Brazil the effects of the drought were dramatic - several major tributaries
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16 as well as parts of the main river itself contained only a fraction of their normal volumes
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18 of water, and lakes were drying up. The consequences for local people, animals and the
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20 forest itself were serious.
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25 In a region with few roads, no river transport means no incoming supplies, and
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27 also leaves local farmers unable to sell their crops. River floodplains have dried up -
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29 people could then walk and cycle in places where previously canoes and riverboats were
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31 the only means of transport. Inevitably, fish died in their millions - their bodies clogged
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33 the rivers, poisoning the water and making it impossible for local people to drink. Towns
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35 were lacking food, medicines and fuel because boats could not get through.
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39 The causes of the drought were not related to El Niño but to (a) an anomalously
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41 warm tropical North Atlantic, (b) a reduced intensity in northeast trade wind moisture
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43 transport into southern Amazonia during the peak summertime season, and (c) a
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45 weakened upward motion over this section of Amazonia, resulting in reduced convective
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47 development and rainfall. The drought conditions were intensified during the dry season
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49 until September 2005 when humidity was lower than normal and air temperatures 3-5 °C
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51 warmer than normal. At this time, the river levels were well below normal and in many
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53 parts of the Solimões River navigation was not possible. Rains returned in October 2005
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4 and generated flooding after February 2006 (Marengo et al 2007).

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6 To make matters worse, as the rainforest became increasingly dry, damaging
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8 wildfires regularly broke out across the region, destroying thousands of hectares of trees.
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10 Due to the extended dry season in the region, forest fires affected part of southwestern
11
12 Amazonia. The fires occurred mainly where there was human activity which could ignite
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14 them. In the Brazilian State of Acre, in southwestern Amazonia, CPTEC/INPE
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16 (www.cptec.inpe.br/queimadas) has informed that the number of fire pixels detected
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18 using the NOAA12 satellite tripled to nearly 2,800 at its peak in September 2005, as
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20 compared to 800 in 2004. In Amazonas, the number of fire pixels in September 2004 was
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22 760 while in September 2005 it nearly tripled to 2,166. Amazonian deforestation and
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24 fires account for more than 75% of Brazil's greenhouse gas emissions and place it
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26 amongst the top four contributors to global climate change.
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33 Reviews on the spatial extent of the droughts and fire response to the 2005
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35 drought are found in Brown et al. (2006) and in Aragão et al (2007). They suggest that the
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37 2005 drought was characterized by the intensification of the dry season in south-western
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39 Amazonia, favoring conditions for the propagation of fires; at the time the levels of many
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41 rivers in the region were below normal. During 2005 the annual cumulative number of
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43 fires in Amazonia increased 33% in relation to the 1999-2005 mean. In the State of Acre,
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45 at the center of the 2005 drought, the area of leakage forest fires was more than five times
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47 greater than the area directly deforested. Fire leakage into flammable forests may be,
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49 therefore, the major agent of biome transformation in a scenario of increased drought
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51 frequency in this region.
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56 The present study focus on the hydro-climatic characteristics of the 2005 drought
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4 in Amazonia extending on the observational analyses from Marengo et al (2007) and the
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6 ecological studies by Aragão et al (2007). The current study is directed to (a) provide new
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8 detailed hydrological analysis of the drought and (b) assess the near surface climatic
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10 conditions that lead to the propagation of fires during this drought.
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15 **2 Data and methodology**

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17 For tropical South America, data from the Global Precipitation Climatology
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19 Center [GPCC] (Rudolf et al 1994; Rudolf and Schneider 2005) were used. The GPCC
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21 gauge-based gridded precipitation data set is available for the global land surface only.
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23 The quality control is done with respect to outliers and homogeneity (both, test and
24
25 removal) as well as the interpolation and gridding is done as thoroughly as possible in
26
27 order to obtain optimal results (Rudolf et al 1994; Beck et al 2005). The GPCC data sets
28
29 are available on 1.0° horizontal resolution. No comparisons were made for previous
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31 drought events (1963-64, 1982-83) since the GPCC monitoring product is available since
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33 1996 only. These data are available as mean monthly precipitation totals and anomalies
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35 from the mean 1961-1990 LTM, and we focus on the seasonal rainfall anomalies during
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37 2005 and 2006.
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44 River discharge and levels data sets from gauging sites in the Brazilian Amazonia
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46 were provided by Agencia Nacional de Aguas ANA (National Water Authority) and the
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48 administration of the Port of Manaus. Most of the river data (levels and streamflow) is
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50 available since the 1930's, with exception of the levels of the Negro River at Manaus Port
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52 that are available since 1903. The data of the Solimões River at Fonte Boa and the Rio
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54 Branco at Rio Branco are available since 1931. River information from the Amazon
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3 River records at Óbidos is available since 1968. For the purposes of this study we used
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5 the common record during 1970-2006. Near surface relative humidity, as well as
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7 sensible and latent heat fluxes were derived from the 850 hPa level fields of the
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9 NCEP/NCAR global reanalyses (Kalnay et al 1996), which are on 2.5° horizontal
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11 resolution.
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15 Fire data are from the fire monitoring program at CPTEC/INPE, based on active-
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17 fire detections using the NOAA12 satellite (Setzer & Malingreau 1996). These data have
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19 been a major source of information on fire activity for ecological and atmospheric
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21 research in Amazonia, and are provided daily at the spatial resolution of 1km at nadir. To
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23 avoid false positives due to solar reflection, we used data from afternoon overpasses
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25 covering the study region around 20 GMT. Here, the detections were aggregated at
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27 monthly time scale and filtered out for locations outside the Brazilian Amazonia.
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32 3. Results and discussions

33 3.1 Climatic features of the drought of 2005

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35 The rainfall records indicates that the basins in the southern and western Amazon
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37 region were the most affected by the drought during 2005, especially during the peak of
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39 the rainy season in early austral summer. Fig. 1 shows large rainfall reduction during
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41 November 2004-January 2005 and then after April 2005, and this variability was
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43 reflected in the river levels in the major Amazon River tributaries such as the Solimões
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45 River starting in May 2005. The dry season June-August 2005 was more intense than
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47 normal in western Amazonia, with rainfall that reached sometimes 25% of the normal
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49 value during the season in southern Amazonia.
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56 Different from intense drought during El Niño years 1983 and 1998, the drought
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4 in 2005 was concentrated in western and southern Amazonia, and not so much in
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6 northern or eastern Amazonia. As in 1998, the 2005 drought was also characterized by
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8 extended fires on the region, suggesting that the drought-fire interaction is not necessarily
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10 restricted to El Niño or El Niño like events. In fact, the relation would also involve the
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12 length of the dry season, the intensity of the rainy season and the regional water balance,
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14 where high air temperatures and reduced atmospheric moisture and intense evaporation
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16 may affect the soil moisture content in the presence of a below normal rainy season.
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22 3.2 Hydrological features of the drought

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24 Time series of monthly levels/discharges of the Amazon River and three of its
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26 main tributaries: Negro, Solimões and Branco Rivers (the later 2 have their drainage area
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28 in southern Amazonia) are shown in Fig. 2a-d, together with the season of high and low
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30 river stands for each gauge station.
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34 In terms of the long terms means, the Branco River (Southwest Amazonia) reach
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36 its peak during February, while the minimum usually is recorded in September. The
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38 Amazon River at the Óbidos gauge site, on average, shows the season of maximum flow
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40 during May-June. After this peak, there is a gradually recession to the minimum in
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42 November. Therefore, the lag time between the peak at the Branco River and the
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44 Amazonas River at Óbidos is, on average, 4 months, while the lag time between lows is
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46 only two months. The reason for these differences in the lag time is related to the
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48 hydrological regime of the western and northern part of the basin: The Solimões River at
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50 Tabatinga (on the Brazilian-Colombian border) for instance, peaks around May (1 month
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52 before the peak of Óbidos), and have a minimum around September (two months before
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4 the peak at Óbidos). Consequently, the maximum discharge at Óbidos is a combination of
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6 the several rivers of different time of contribution at Óbidos. The minimum, however, is
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8 reach simultaneously in most tributaries during September, and the signal arrives
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10 simultaneously in Óbidos during November.
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13 Some of the river series show lower values during the El Niño events in 1982-83
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15 and in a lesser degree in 1998. Water levels were very low during the drought of 2005,
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17 and in some cases the values were lower than 1 standard deviation, which is particularly
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19 significant since they occurred during the season where the levels are minimum.
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23 The discharges of the Amazon River at Óbidos (Fig. 2a) show values during the
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25 low season September-October 2005 in the entire period below 100,000 m³/sec, that are
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27 the lowest since 1970 while the values in the high season May-June were slightly above
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29 normal. In other drought years such as 1979, 1982, 1994 and 1998 the reduction in
30
31 discharge is detected in both the high and low season. The Negro River was about 20 cm
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33 above the normal during the June-July high season in 2005, and from January to July
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35 2005 the levels were about 1-2 m above normal in Manaus (Fig. 2b). Since August 2005
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37 the river levels dropped to values about 3 m below normal, and the September-October
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39 low season the values were almost 2.5 m below normal. It reached 18.61 m in September
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41 2005 (September average=22.30 m). For comparison, the Rio Negro level in Manaus
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43 reached 21.74 m in September 2004, and it dropped almost 4 m below-normal by
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45 September 2005.
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51 The water level of the Negro River in Manaus is a combination of the signal
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53 produced by the Rio Negro itself and the nearby Solimões River. Since the discharge of
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55 the Solimões River is, on average, three times greater than the Negro River, water levels
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4 of the Negro River in Manaus are strongly influenced by the backwater effect produced
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6 by the Solimões at the confluence of both rivers. The levels of the Rio Solimões also
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8 experience large drops in the September-October season in 1995 and 1998, larger in
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10 magnitude than those of 2005 (Fig. 2c). A large drop in river levels in Manaus is
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12 observed in the September-October low season while during the levels in the June-July
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14 high season the levels were near normal. The drop in the levels at the Manaus gauge site
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16 in the September-October low season was due to the drop of the levels at the same season
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18 of the Solimões River upstream of the Manaus site.
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22 Values of the Branco River (Fig. 2d) also show low levels in 1998 and in previous
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24 El Niño years, but the lowest of the record in 2005. These levels, both during the
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26 February-March high and August-September low season, experience a negative trend
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28 since the beginning of the 1970's.
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32 It is clear that the drought of 2005 has different characteristics with previous
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34 recorded events, since it strongly affected the southwest portion of the basin. Because of
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36 the sheer size and significant travel time of the Amazon basin, the contribution of that
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38 part of the basin occurred when the water levels were already receding at the Manaus
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40 gauge site. Therefore, rainfall anomalies strongly affected the water level at Manaus by
41
42 the time of the year where the stages are normally minimum, increasing the recession in
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44 October 2005, while the peak discharge remains unaffected. In all gauge sites, for the
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46 four rivers considered, the low season values in 2005 were more than 1 standard
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48 deviation lower than the mean, something that was observed in some degree in 1998, but
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50 on that year the drops were also observed in the high season.
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55 Since the drought of 2005 affected western and southern Amazonia, it is clear that
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4 several tributaries that drain extensive areas of southern part of the Amazon basin were
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6 affected. It is important to note that the largest contribution areas of the Amazon River
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8 are located to the south of the basin. Therefore, lowest than normal contribution from the
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10 southern part of the basin affected the Amazon River discharges along the main river, and
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12 explains why the drought impacts increased downstream.
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15 . Even though these records are relatively short, a small negative trend in low
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17 level/discharge season has been detected since the beginning of the 1970's most of the
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19 river time series shown in Fig. 2. Same tendency was observed in the Amazon River
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21 discharge series at Iquitos (SENAMHI-National Meteorological Service from Peru). The
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23 Solimões and Madeiras Rivers contribution is about 49 % and 16% of the Amazon River
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25 discharge (Molinier et al 1996), therefore slightly negative discharges of the Amazon
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27 River measures at Óbidos might be explained by the negative trends in the both the
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29 Solimões and Madeiras Rivers.
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36 3.3 Near surface climate conditions and fire risk during the drought of 2005

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38 The onset and propagation of fires depends on soil moisture content which is
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40 related to the length of the dry season, and the hydrological signal depends on the quality
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42 of the rainy season. So it is possible to have a year that from the hydrological point of
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44 view may be normal with plenty of rain during the rainy season, and if the dry season is
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46 longer than normal the year can be considered as dry from the ecological point of view.
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48 On the other hand, organic matter and litter accumulates continuously, and during normal
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50 or wet years fire is inhibited to propagate because the litter and organic matter are not
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52 sufficiently dry and they accumulate from one year to another. When a drought impacts
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4 Amazonia, the litter and organic material dry up and can become “fuel” for a fire, and
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6 with an ignition (natural or human induced) an intense fire season can develop. In 2004
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8 and 2005 the dry seasons were very rigorous in almost all Amazonia, and the rainy
9
10 season was deficient only in southwest Amazonia, and the fires were more intense and
11
12 frequent in that section of Amazonia.
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15 Fig. 3a-c shows the time series of relative humidity anomalies in 850 hPa, and
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17 latent and sensible anomalies for northern and southern Amazonia [regions defined in
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19 Marengo et al (2007) according to the different rainfall annual cycle]. These fields were
20
21 derived from the NCEP reanalysis from January 2004 to January 2006, and compare
22
23 quite well with surface meteorological observations in the region. In southern Amazonia,
24
25 starting in June 2005, relative humidity anomalies reached up to 8% below normal,
26
27 indicating conditions drier than normal and favoring the drying up of the dead biomass.
28
29 According to CPTEC reports, maximum air temperatures were 4-5 °C above normal
30
31 during June-September 2005 in southern Amazonia. These conditions – relative humidity
32
33 decrease and temperature increase – were consistent with the marked negative (positive)
34
35 latent (sensible) heat anomalies indicated by thick broken (black) lines in Fig. 3b, c. On
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37 the other hand, both conditions – decrease in low level atmospheric moisture (associated
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39 to less latent heat) and increase in surface temperature (associated to more sensible heat)
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41 – mean water stress and would favor the occurrence and propagation of fire, particularly
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43 during the wintertime dry season. In northern Amazonia, the relative humidity was closer
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45 to normal in 2004 and most of 2005, while during the dry season of 2005 it was about 4%
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47 below normal. Latent and sensible heat anomalies did not show marked anomalies.
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49 Therefore, situation certainly was less dramatic for northern than for southern Amazonia.
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4 Fig. 4 shows time series of the number of fire pixels detected with NOAA 12 in the
5 north and south of the Brazilian Amazonia, from January 2000 to January 2006. The
6 monthly number of fire pixels detected in this period is represented by the black solid
7 black line for the north of the region, and by the dashed black line for the south. To
8 provide a reference for these values in relation to previous years, the grey lines display
9 the average number of fire pixels during 2000-2003 for each region and specific month.
10 The solid grey line displays monthly values for the north, and the dashed grey line for the
11 south. As shown, fire detection in both subregions reflects the seasonality in
12 precipitation.
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25 While fire pixels were generally detected in the dry season as expected, there is
26 indication of overall increase in fire activity in 2004 and 2005. In both subregions, the
27 number of fire pixels was higher during most of this period (black lines) than in the four
28 previous years (grey lines). The results also present different patterns of changes between
29 the two subregions. First, the results indicate that the increase in fire activity was more
30 accentuated in the south of the region. As shown, for most of 2004 and 2005 the relative
31 differences in the number of fire pixels between dashed lines (south) are higher than
32 between solid lines (north). Second, the relative increase in fire activity for 2004 in the
33 north is noticeable only from November. Before that, the solid grey and black lines are
34 very similar.
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51 4. Discussions and conclusions

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53 From the observational point of view it is concluded that the drought that affected
54 southern and western Amazon during summer 2005 was due to rainfall reductions from
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4 December 2004 to February 2005. The main characteristic of the drought was the lower
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6 river levels and discharges during the May-July peak season, consequence of the rainfall
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8 reduction few months before. This season of low river stands was also accompanied by
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10 rainfall amount over southern Amazonia that were about 25-40% of the normal, with
11
12 accompanied by a drier and warmer atmosphere that helped in the set up and propagation
13
14 of forest fires in the states in southern and western Amazonia. This drought impacted
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16 especially the onset and peak of the rainy season during spring and early summer of 2005
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18 and in less degree during fall Northern and central Amazonia.
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22 The drought also favored the occurrence of fires. The main patterns in our fire
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24 analysis reflect the major climate features in 2004 and 2005. Generally, both the
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26 occurrence and overall increase in fire activity reflected the timing and the negative
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28 anomalies of the wetness conditions. As shown, the majority of fires were detected in the
29
30 dry season and the number of detections in the period was higher than in previous years
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32 (Fig. 4), consistent with the occurrence of the drought (Fig. 3). In addition, the
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34 differences in intensity of the fire-activity change between north and south of Amazonia
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36 can also be related to the features of the drought in these subregions. In the north, the
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38 increase in fire activity was relatively smaller than in the south, and occurred mostly in
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40 the dry season of 2005, similarly to the occurrence of negative anomalies of humidity in
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42 that region (Fig. 3a). In the south, the relative increase in fire detections was more intense
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44 than in the north and occurred in most of the dry seasons in 2004 and 2005. In that
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46 region, negative anomalies in relative humidity were also more intense than in the north
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48 and happened in both years (Fig. 3a).
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55 In the northern-central part of the Amazon region on the basin of the Rio Negro,
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4 the sudden drop of the levels at Manaus since July 2005 were not due to reduced rainfall
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6 in the northwestern Amazonia but to the effect of the low Solimões River flow into the
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8 measurements at Manaus due to reduced rainfall on its basin. This was also detected in
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10 the discharges of the Amazon River at Óbidos.
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13 Therefore, the anomalously low levels in many of the rivers in southwestern
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15 Amazonia during the autumn-wintertime peak season was due to rainfall reductions on
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17 that region during the summertime peak season 3-4 months before. The wintertime
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19 season was also accompanied by large rainfall reductions and a dry and warm atmosphere
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21 that favored fire ignition and propagation. The levels in Manaus were low only during
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23 July but due to the effect of the lower levels of the Solimões that somewhat affected the
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25 levels at Manaus. This drought was different from those associated with El Niño, where
26
27 rainfall anomalies impact both summer and autumn rainfall in central and eastern
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29 Amazonia, producing very large drops in the Manaus levels, as in 1926, 1983 or 1998.
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31 The drought of 2005 was somewhat similar to that 1963-64.
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For Review Only

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Figure captions:

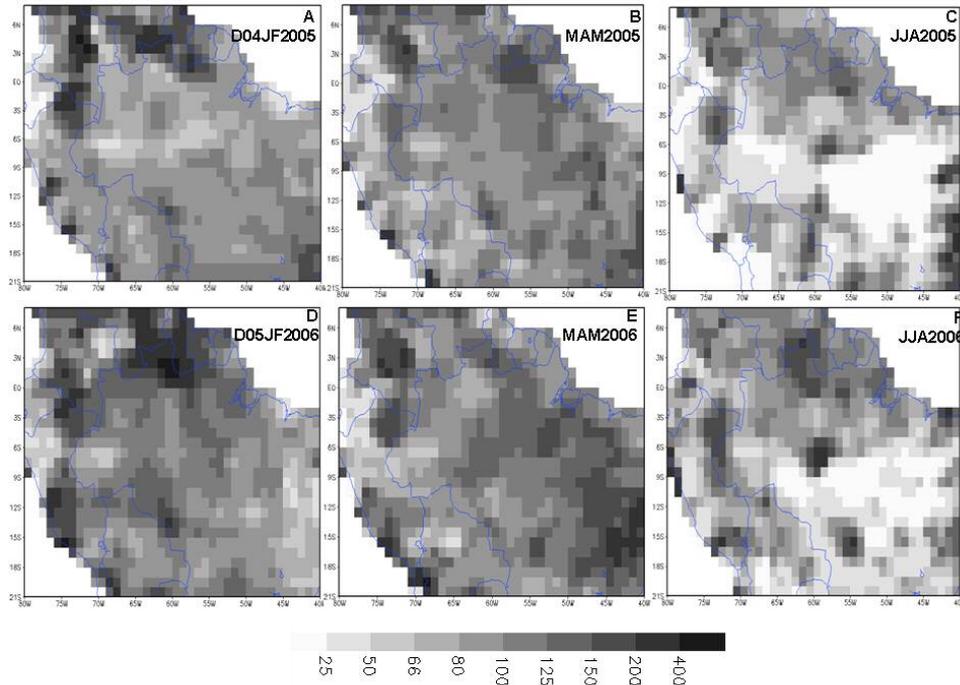
Figure 1. Seasonal rainfall anomaly maps for tropical South America from December 2004-February 2005 to June-August 2006. Values as shown as percentages of the 1961-1990 long term mean. Data is from GPCP-Monitoring product available at 1.0° latitude/longitude gridbox area. Grey tones indicate percentages (%) from the mean.

Figure 2. River levels/streamflows series at different gauge stations for rivers with basins extending in central and eastern Amazonia, and in southern-western Amazonia in Brazil, Bolivia and Peru during 1970-2006, for the high/low season. (a) Amazon River at Óbidos, for May-June MJ/September-October SO, units in m³/seg; (b) Negro River at Manaus, for June-July JJ/September-October SO, units in m; (c) Solimões River at Fonte Boa for May June MJ/September-October SO and (d) Rio Branco River at Rio Branco, for February-March FM/August-September AS, both in units in cm. Arrows show year with drought. Mean and standard deviation are shown at each panel for the season of high and low river stands.

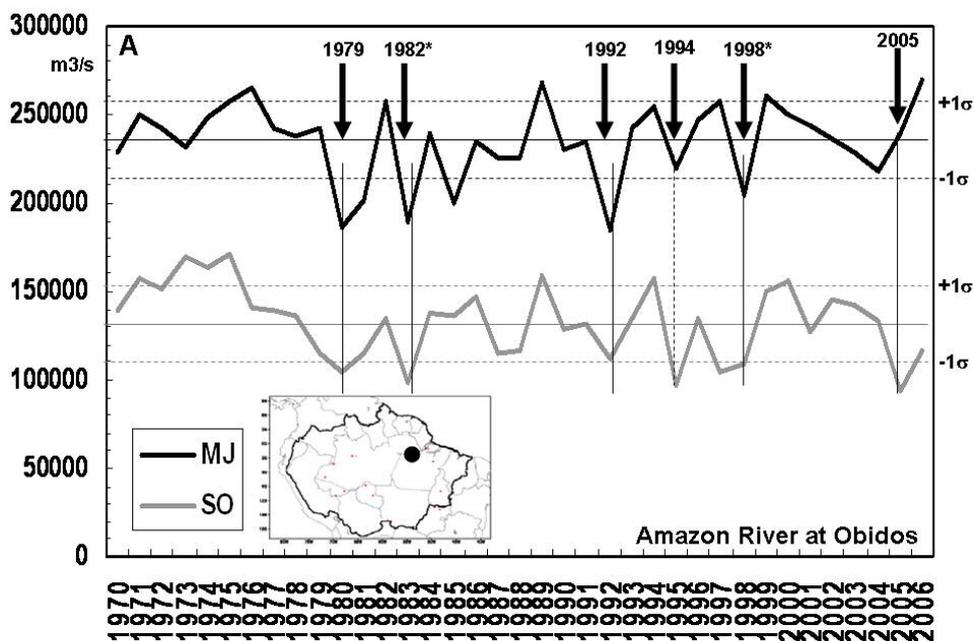
Figure 3. (a) Time series of 850 hPa NCEP reanalyses relative humidity anomalies (%) for northern and southern Amazonia from January 2004 to January 2006; (b) Time series of 850 hPa NCEP reanalyses latent and sensible heat ($W m^{-2}$) for southern Amazonia from January 2004 to January 2006; (c) as in (b) but for northern Amazonia. Anomalies are in relation to the 1968-2006 climatology: (a) Mean of 92% and standard deviation of 1.5% for Northern Amazonia, and mean of 85% and standard deviation of 2.5% for Southern Amazonia; (b) for northern Amazonia: mean of 136 $W m^{-2}$ and standard deviation of 5.4 $W m^{-2}$ for latent heat, and mean of 10.4 $W m^{-2}$ and standard deviation of 2.7 $W m^{-2}$ for sensible heat; (c) for southern Amazonia: mean of 117 $W m^{-2}$ and standard

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3 deviation of 6.6 W m^{-2} for latent heat, and mean of 23.5 W m^{-2} and standard deviation of
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6 5.7 W m^{-2} for sensible heat.

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8 **Figure 4.** Time series of the number of fire pixels detected in the Brazilian Amazonia,
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10 from January 2000 to January 2006. The monthly number of fire pixels from Jan 2004 to
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12 Jan 2006 is shown in solid black for the north (between $6-7^\circ\text{S}$), and in dashed black for
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14 the south (between $7-18^\circ\text{S}$). The average number of fire pixels during 2000-2003 is
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16 shown in solid grey for the north, and in dashed grey for the south. Fire detections are
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18 from CPTEC/INPE, based on NOAA-12 afternoon overpasses around 20 GMT.
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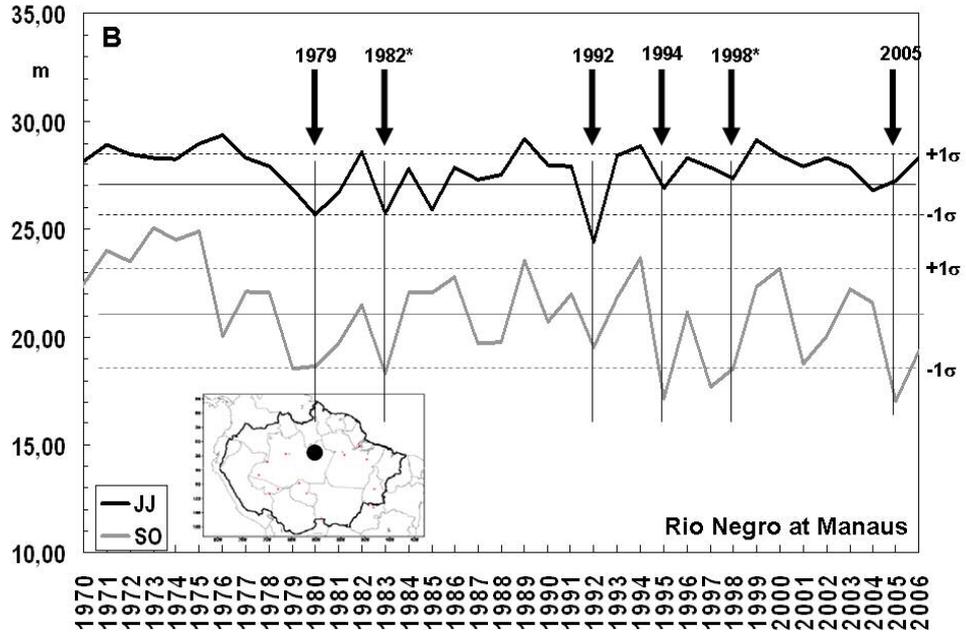


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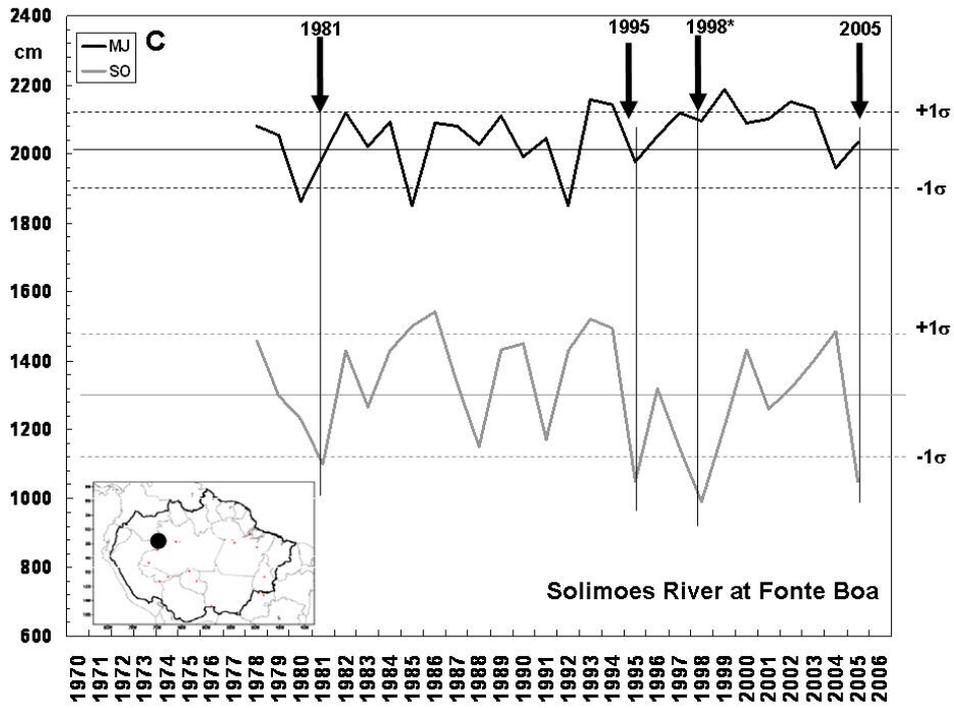
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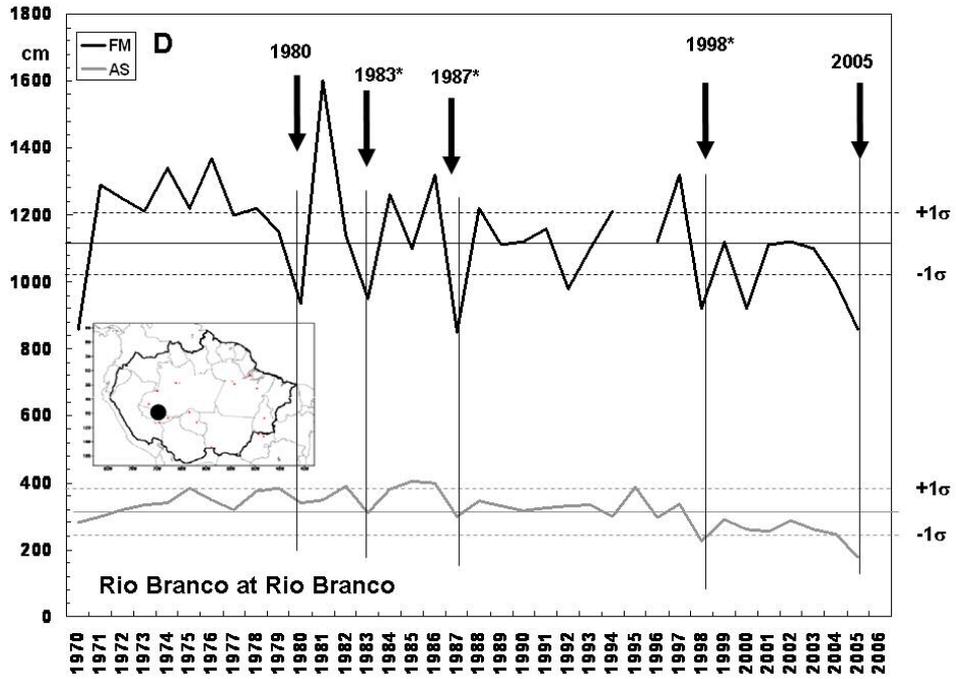
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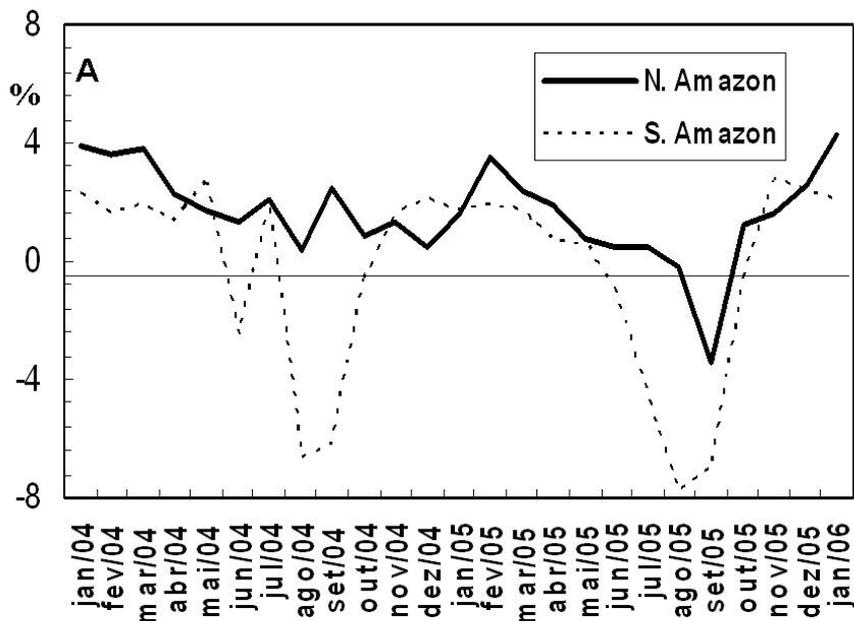
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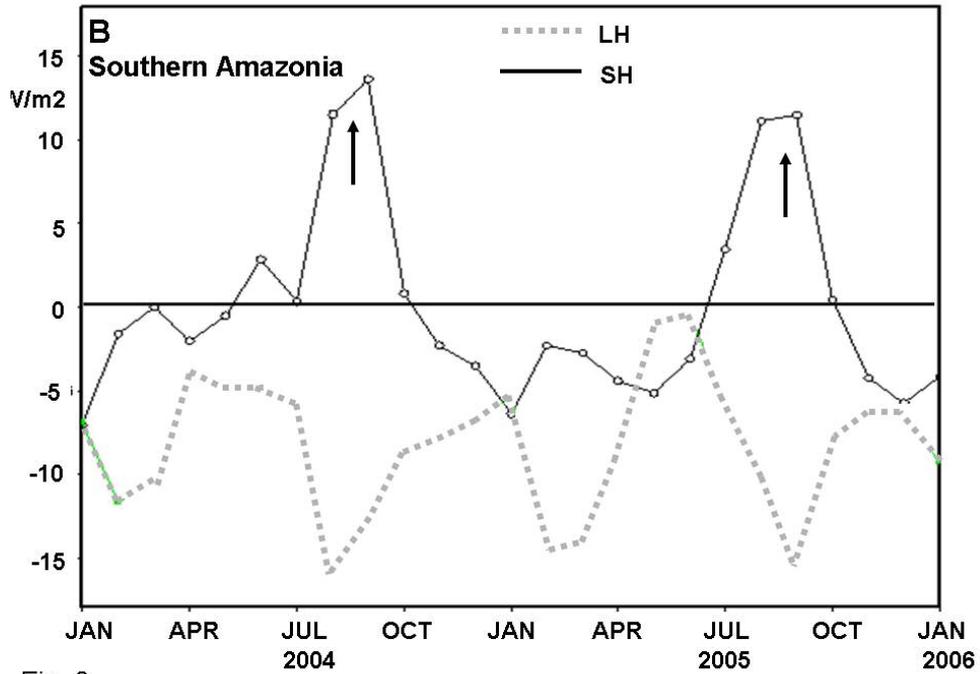
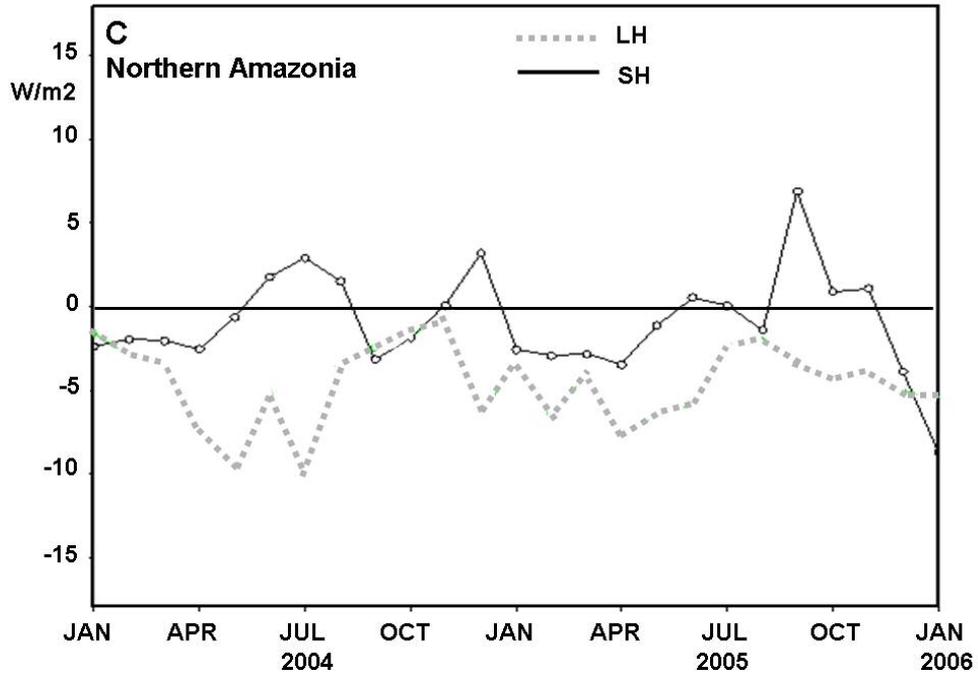


Fig. 3

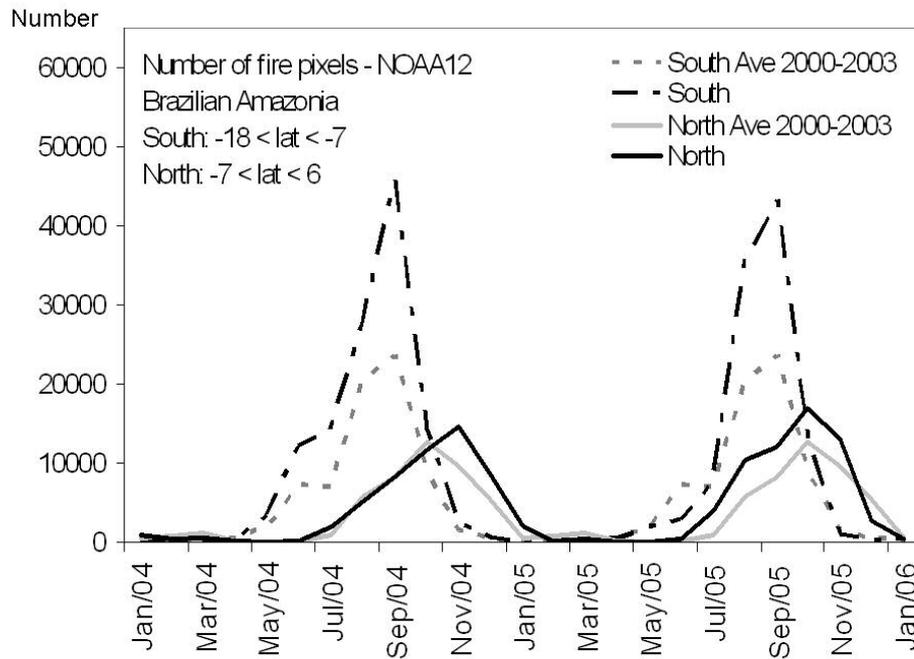
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